



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,904	11/27/2000	Michael H. Julius	47841/00063

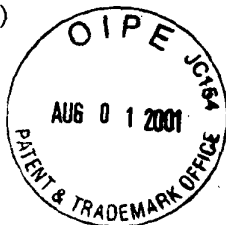
CONFIRMATION NO. 4246

FORMALITIES LETTER



OC000000006027886

BLAKE, CASSELS & GRAYDON LLP
(Intellectual Property Group)
Commerce Court West
P.O. Box 25
Toronto, ON M5L 1A9
CANADA



Date Mailed: 05/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$6838.
 - \$4248 for 236 total claims over 20.
 - \$2320 for 29 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 7678.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in

08/03/2001 AZERGAW1 00000139 09721904

355.00 DP
153.00 DP
240.00 DP
65.00 DP

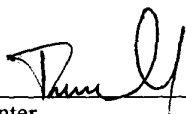
01 FC:201
02 FC:203
03 FC:202
04 FC:205

computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Julius *et al.*
Serial No.: 09/721,904
Filing Date: November 27, 2000
Title: INDUCTION OF ANTIBIOTIC PROTEINS AND PEPTIDES BY LAIT/sCD14-PROTEIN
Art Unit: 1632
Examiner:
Atty's Docket No.: 47841/00063

Attention: Customer Service Center
Initial Patent Examining Division

The Commissioner of Patents and Trademarks
Washington, D.C. 20331
U.S.A.

Dear Sir:

This is a response to the Notice to File Missing Parts of Nonprovisional Application mailed May 1, 2001

We enclose the following:

1. Part 2 of Notice to File Missing Parts of Nonprovisional Application mailed by the PTO May 1, 2001.
2. Declaration and Power of Attorney signed by inventor Michael H. JULIUS, and Dominik FILLIP.
3. Preliminary amendment with the following attachments: replacement specification (pages 1 to 31); Specification with Markings to Show Changes Made Sequence Listing; Sequence Listing paper copy; Sequence Listing on diskette.
4. Assignment with Assignment Recordal Sheet.
5. Petition for extension of time for responding to the Notice of May 1, 2001.
6. Check for \$908.00, calculated based on the enclosed preliminary amendment, as follows:

Claims:	For	Number filed	Number Extra	Rate	Calculations
	Total claims	37 - 20 =	17	x \$18.00 =	\$306.00
	Independent claims	9 - 3 =	6	6 x \$80.00 =	480.00
	Multiple dependent claims: 0				
	Basic fee =				710.00
	Total of above calculations =				1496.00
Other:	Surcharge =				130.00
	One-month extension fee =				110.00
	Reduced by 50% for filing by a small entity =				868.00
	Assignment registration fee =				40.00
	TOTAL =				\$908.00

Please charge any additional fee which may be required for the papers being filed with this letter and for which no check is enclosed or credit any overpayment to Account No. 02-2553.

Should any Patent Office Official want to telephone, the call should be made to John C. Hunt (Registration No. 36,424) at (416) 863-4344.

Yours very truly,



John C. Hunt
Registration No. 36,424

July 31, 2001
Date



27871
PATENT TRADEMARK OFFICE

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